

**Ohio Historical Records Advisory Board
Historical Records Grant Guidelines
2011**

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**Ohio Historical Records Advisory Board
c/o Ohio Historical Society
1982 Velma Avenue
Columbus, Ohio 43211
(614) 297-2536**

Overview

The Ohio Historical Records Advisory Board (OHRAB) seeks to enrich the culture and protect the rights of Ohioans by fostering activities that identify, preserve and provide access to the State's documentary heritage. It accomplishes these goals by advocating for issues that enhance the collection, preservation and public use of historical records, encouraging organizations to seek funding for appropriate projects, and providing guidance to those organizations. To further its mission OHRAB has created a grant program to help Ohio historical records repositories obtain funds for smaller projects that may not qualify for funding under larger grant making agencies. Using funds awarded by the National Historical Publications and Records Commission (NHPRC), OHRAB is offering grants to Ohio historical records repositories to develop and/or implement projects to identify, preserve, and provide access to historical records in Ohio.

Grant Amounts and Requirements

- Minimum grant request: \$500
- Maximum grant request: \$3,500
- All grants require a *minimum* one-to-one in-kind and/or cash match

Required Matching Funds

Recipients of grant awards must provide a *minimum* one-to-one (\$1 for \$1) match of grant funds requested. The match may be met through cash and or in-kind contributions. Greater than one-to-one matches are encouraged, but not required.

- Cash match is defined as money the organization spends specifically for the project that will not be reimbursed by grant funds. *Cash match may include staff time.*
- In-kind match is the value of time or services contributed. Indirect expenses (such as rent, telephones, or other normal operating expenses) may not be included as match.

Eligibility and Funding Priorities

- Eligible institutions may be public or private but a portion of the project records must include government records. Although any size institution may apply, preference will be given to institutions with permanently valuable materials of 500 cu. ft. or less.
- The archival collection must be available to the public on a regularly scheduled basis.

Eligible Projects

- Access, Arrangement and Description: identifying, organizing, and improving access to historical records.
- Preservation: purchasing archival file folders, archival boxes, dehumidifiers, humidifiers, hygrothermographs, or HEPA vacuum cleaners.
- Website Development: Adding collections to online catalog, developing virtual archives, or creating an on-line data base.

Eligible Expenses

- Supplies: Supplies such as acid-free boxes and folders, photo sleeves, etc.
- Contracted services: Micrographics, photo reproduction or similar professional services.
- Equipment: Costs to purchase technical equipment (microfilming equipment, computers, electronic peripherals, etc.) or shelving essential for the project are eligible costs.

Ineligible Expenses

- Operating costs: Indirect costs, such as rent, telephone, or other normal operating expenses.
- Personnel: Costs for existing, full-time staff positions or overtime hours. *Budget proposals may show staff time under cash match.*
- Capital Improvements: Costs for the construction or renovation of any building, the purchase of land, or the rental of space. *Budget proposals may show building renovation costs under cash match.*
- Collection development: Costs for purchasing materials to be added to collections, such as books, maps, manuscripts, photographs, etc.
- Training: Expenses for training or for staff travel to professional meetings, seminars, and workshops that are not essential to achieve project goals.
- Services: Costs for maintenance agreements for equipment purchased as part of the grant project. *Such expenses may be shown as cash match for the duration of the project.*

Restrictions

All purchases made with grant funds must be made during the grant period. Grant funds may not be used to pay for existing supplies or previous work. All grant-funded expenses must be directly associated with the project and incurred during the grant period. Grant funds may not be used to pay existing staff salaries.

Review and Selection Process

Completed applications will be reviewed by an OHRAB committee and submitted to the entire board for decisions on awards. OHRAB will review and evaluate all applications and supporting documentation. Grants will be selected on a competitive basis by OHRAB. Grant proposals may be fully funded, partially funded, or rejected by the board. In determining whether an applicant shall receive a grant, some of the criteria that OHRAB will consider are the following:

- Will the project identify, preserve and/or make accessible significant Ohio local government records?
- Will the project utilize sound archival practices?
- Are the proposed activities and expenditures appropriate and cost effective?
- Does the proposal adhere to grant project application requirements and does it contain sufficient information for OHRAB decision-making?
- Is the financial information submitted realistic and accurate?
- In general, is the application meeting the mission, goals, and objectives of the Ohio Historical Records Advisory Board? (See page 1 of the Grant Guidelines)

Grant Period (timeline, deadlines)

Jan 2, 2011	Grant opportunity announced
Feb 28, 2011	Grant proposals due
Mar 15, 2011	Awards announced
Mar 22, 2011	Projects begin
Aug 31, 2011	Interim reports due to OHRAB
Oct 28, 2011	Interim reports reviewed at OHRAB regular meeting
Dec 31, 2011	Projects end
Jan 31, 2012	Final reports and financial statements due

Start and end dates for projects may vary within the parameters listed for a grant cycle. A project may complete its work before the formal ending date. It *must* complete work-and submit a final report-by the formal ending date, unless the project has received an extension in advance.

Responsibilities of Grant Recipients

- Each applicant must be prepared to provide a one-to-one match of the grant funds requested. The local match may consist of direct financial contributions, a percentage of time devoted to the project by current staff, or a combination of two.
- Grant recipients will be required to document all project expenditures.

Reports

A brief narrative progress report is due at the mid-point of the project. Within thirty days after the end of the grant period, a more detailed final report is required. All final reports to OHRAB must contain the following information:

Institution/Organization Name

Project Title

Project Director name, telephone number, and e-mail address

Summary of Project Activities and Accomplishments

- Must include titles, series titles, dates and cubic footage/images scanned, of records addressed by your project.
- Copies of catalog entries and other finding aids (these might include screen shots, links, and before and after photos)
- Include some narrative of self-assessment about how the project met the objectives submitted in your application.
- Include quotes from local press coverage (if any) and also copies of any articles cited.
- Itemized list of expenditures. Include copies of checks and/or receipts.

Project Cost Match

Provide details about in-kind cost match, including the cumulative total, and the amount of cash match on the project. Cost matches greater than one-to-one are encouraged, but not required.

Crediting

Any published materials, radio or television announcement created by the awardee in recognition of this award must include the following credit: “Supported in part by an award from the Ohio Historical Records Advisory Board, through funding from the National Historical Publications and Records Commission (NHPRC), National Archives and Records Administration.”

GRANT APPLICATION INSTRUCTIONS

1. Complete Summary Page (page 9, below)

The purpose of this page is to provide a summary of the grant proposal for OHRAB review.

- **Organization.** List the name of your organization and mailing address
- **Federal Employee Identification Number.** If your agency or entity has a Federal Employee Identification Number (FEIN), or a tax-free number, list it here.
- **Funding Required for Project.** List the budget information for the project, using the “TOTAL GRANT COST” figures compiled at the end of the budget form. OHRAB requires all grant recipients to provide a minimum one-to-one (\$1 for \$1) match of the grant funds requested. The local match may be either cash or in-kind contributions (Such as the value of staff time, supplies, and services the recipient devotes to the project).
- **Project Director.** This should be the person primarily responsible for directing grant activities; ensuring that the project is successfully completed; complying with grant guidelines; supervising project workers, and coordinating with vendors, consultants, and OHRAB. The director is also responsible for submitting the project’s reports. Please provide all contact information for the director that is requested, as this person will be OHRAB’s primary contact for the project.
- **Authorizing Official.** This is the person authorized to sign a contract on behalf of your repository, for example the Director, Board President, Treasurer, etc (In some cases this may be the same person as the Project Director). *This person must sign the application.*
- **Title of Project.** The title should be a brief description of what the project proposes to accomplish (e.g., “Reorganization of Courthouse Records Storage Areas,” “Preservation of Municipal Records,” “Description of Land Records in Anywhere Historical Society,” or “Placing a Surname Index to Early Marriage Records on the Historical Society/Archives Website).
- **Starting Date/Ending Date.** List the dates the project will begin and end its work.
- **Summary Statement.** This should be only a brief summary, limited to two or three sentences. The grant application narrative permits applicants to use up to five (5) double-spaced pages for describing the project in detail.

2. Complete Budget Form

Complete Regrant_Budget_Form_fillable_worksheet.xls (either electronically or manually on a printed copy), providing details of how you arrived at the amounts requested. Cost figures should be given in whole dollar amounts (i.e. \$550).

- **Salaries and Wages.** List the names and titles of all persons (staff, volunteers and consultants) who will work on the grant project. Include the salary or wages each will receive during the grant period. Examples of methods for calculating salary:
 - A salaried employee devotes 10% of her time to a four-month project. Calculate grant-related salary by multiplying 4 months x 1 month's salary x 10%. The resulting figure is listed under "cost match" as part of the agency's cost share.
 - A person is hired full time to work on the project, and paid with grant funds. Calculate his salary as 4 months x 1 month's salary x 100%. The total is listed in the "grant funds" column.
- **Supplies.** Supplies such as acid-free boxes and folders, photo sleeves, etc. Estimated costs should be itemized and listed under "grant funds" or "cost match" as appropriate. For all grant-funded equipment and supplies, product specifications and vendor price quotations must accompany the application.
- **Equipment.** Includes technical equipment like microfilming equipment, computers, electronic peripherals, etc., or shelving essential for the project. Estimated costs should be itemized and listed under "grant funds" or "cost match" as appropriate. For all grant-funded equipment, and supplies, product specifications and vendor price quotations must accompany the application.
- **Contracted Services.** Encompasses outsourced services such as micrographics, photo reproduction or similar professional services. Estimated costs should be itemized and listed under "grant funds" or "cost match" as appropriate.
- **Other Eligible Expenses.** Could include expenses like web site development. Estimated costs should be itemized and listed under "grant funds" or "cost match" as appropriate.
- **Total Project Costs.** Here, enter the subtotals for the various project categories listed, using the "grant funds" or "cost match" columns as appropriate, add up the subtotals to obtain the "Total Grant Cost." The final figures should be identical to the "Total Cost" figure on page 1 of the application form. Double-check that all expenditures proposed in the narrative are accounted for in the budget, and that all expenses listed in the budget are described and justified in the narrative.
- **Acceptance of Partial Funding statement.** A question at the bottom of the budget form asks if you will accept partial funding and still complete some portion of the project as outlined in the application or seek supplemental funding elsewhere. State Yes or No.

3. Complete Grant Application Narrative

The following sections must be completed on individual pages. Section I must be no more than one (1) page (single-spaced) in length. Section II must be no more than five (5) pages (double-spaced) in length.

Section I. Organization Description: Provide a brief description of your organization and its facility. Include the size and composition of your staff (number of staff, full-time, part-time, volunteer, paid); hours of operation; your annual budget; and sources funding. Include any additional information that you feel would be helpful to OHRAB's review of your request.

Provide an overall description of your general holdings, for example subject, types/formats of records, date span, and total cubic footage. Then include a description of the physical condition of the historical records that the grant project will address and state whether or not the historical records are currently available to the public. If your records are not currently available to the public, please explain. Collections/records/archival materials from funded projects must be made available to the public on a regularly scheduled basis.

Section II. Project Description and Scope of Work: Expanding upon your Summary Statement, provide a project description and scope of work by answering all of the following questions appropriate to your proposed project.

1. What is the historical significance of the materials involved? What user groups will utilize these materials? Does the information content of your records exist elsewhere, or in another format?
2. What actions, activities, or steps will you take during your project and when and in what order will they be undertaken?
3. Who will complete these actions or perform these activities? Identify the project staff and provide a summary of the individuals' qualifications
4. Identify the results (products) to be produced by this project.
5. What is your organization's ability to implement the project and the project's results? How will the results be sustained?
6. How will the effectiveness of the project be evaluated? How will the award of this grant change the use of these records? What long-term benefits will be derived?
7. How will you publicize the results to your community and what will you do to build on the project accomplishments in terms of ongoing work or funding?

4. Send the Application

Entries must be received by February 28, 2011. Send completed summary sheet, budget, and narrative to one of the following. Electronic submission can be in Word/Excel or pdf.

(Paper) OHRAB Grant Program
c/o the Ohio Historical Society
1982 Velma Avenue
Columbus, OH 43211

(Electronic) fprevits@ohiohistory.org

**Ohio Historical Records Advisory Board 2011 Grant
Summary Page**

Organization

Funding required for project

Name _____

Grant \$ _____

Address _____

Matching \$ _____

City/Zip _____

Total \$ _____

FEIN # _____

Project Director

Authorizing Official

Name/Title _____

Name/Title _____

Address _____

Address _____

City/Zip _____

City/Zip _____

Phone () _____ Fax () _____

Phone () _____ Fax () _____

E-mail _____

E-mail _____

Project description

Title of Project _____

Starting Date _____ Ending Date _____

Summary Statement *Briefly summarize the nature and purpose of the project you propose for funding. State the programmatic and financial need.*

CHECKLIST

This checklist is designed to assist you in the preparation of your application. Do not submit with your final application

Did you...

1. Read Grant Guidelines (pp. 1-5)? _____
2. Read Grant Application Instructions (pp 7-9)? _____
3. Complete Grant Summary Page (p. 10)? _____
4. Complete Budget Form? _____
5. Attach Grant Application Narrative? _____

Questions? Contact: Judith G. Cetina, Ph.D.
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